

EXPRESS MAILING LABEL NO. EL481675531US  
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Attorney Docket No. CVNT 1017-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
ALAN RAY MERRELL et al.	)	Art Unit: <i>Unknown</i>
	)	
Application No. <i>Unassigned</i>	)	
	)	Examiner: <i>Unassigned</i>
Filed: 25 January 2001 (Herewith)	)	
	)	
Title: <b>Architecture For Access to Embedded</b>	)	
<b>Files Using a SAN Intermediate Device</b>	)	
_____	)	

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Listed on attached Form PTO-1449 is information known to applicant. A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. The Examiner is requested to initial the enclosed form PTO-1449 return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

  X   This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- |              |     |  |
|--------------|-----|--|
| <u>  x  </u> | (1) | It is being filed within 3 months of the application filing date |
|              |     | -- OR --   |
| <u>  —  </u> | (2) | It is being filed within 3 months of entry of a national stage   |
|              |     | -- OR --   |

- (3) It is being filed before the mail date of the first Office Action on the merits.
- 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- a certification as specified in §1.97(e) is provided below; **or**
- a fee of \$240.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; **and**
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- *Fee Authorization.* The Commissioner is hereby authorized to charge the above-referenced fees of \$ -0- and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (Docket No. CVNT 1017-1). A duplicate copy of this authorization is enclosed.

Respectfully submitted,



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Date: 25 January 2001

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